Iris Recognition using Enhanced Method for Pupil Detection and Feature Extraction for Security Systems

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Summary:
Secure the systems are vital role, out of all the methodology for securing biometric security system is the most promising and reliable. in our proposed method we use iris biometric with wavelet algorithm with decomposition up to 5-level to achieve accurate feature vector and also using efficient pupil detection and iris recognition method to get smaller portion of the iris even though iris is partially occluded.
Keywords:
Feature extraction, haar wavelet transformation, hamming distance, iris recognition, matching ratio.

1. Introduction.

Biometrics, which refers to authentication based on his or her physiological or behavioral characteristics, its capability to distinguish authorized person and an unauthorized. Since biometric characteristics are distinctive as it cannot be forgotten or it cannot be lost, for identification person has to be present physically. Biometric is more reliable and capable than traditional knowledge based and token-based techniques. Biometric has also drawback i.e., if it has be compromised then it is difficult to replace.
Among all biometrics such as fingerprint, facial thermogram, hand geometry, face, hand thermogram, iris, retina, voice, signature etc., Iris-based identification is one of the most mature and proven technique. Iris is colored part of eye as shown in Fig1. A person's two eye iris has different iris pattern, two identical twins also has different in iris patterns because iris has many feature which distinguish one iris from other, primary visible characteristic is the trabecular meshwork, a tissue which gives the appearance of dividing the iris in a radial fashion that is permanently formed by the eighth month of gestation[17] and iris is protected by eyelid and cornea as shown in figure 1 therefore it increases security of the systems. Spoofing is very difficult with iris patterns compare to other biometrics. In practical situation it is observed that iris part is occluded by interference of eyelids and eyelashes, improper eye opening, light reflection and image quality is degraded because of low contrast image and other artifact [16]. Advantages of Iris is that it is not subject to the effects of aging which means it remains in a stable form from about age of one until death . The use of glasses or contact lenses has little effect on the representation of the iris and hence does not interfere with the recognition technology [17]. In this paper we will uses wavelet family such as Haar, db2, db4 for feature extraction and Hamming distance for matching process. We implement using Matlab.

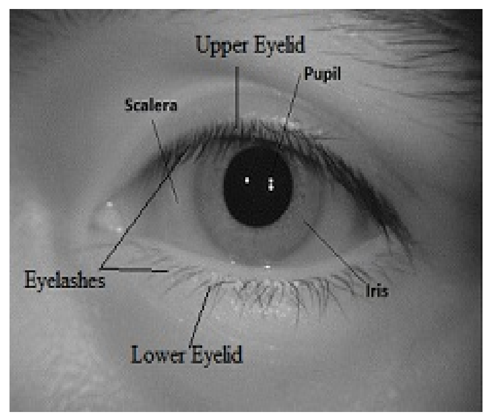

Fig1: Structure of Iris

2. Related works

Various approaches exist in the past for iris recognition for person identification which includes John Daugman's Iriscode [1]. However proposed work uses Haar decomposition [2] for iris feature extraction to get 348-bits iris code for effective iris recognition. Advantages of haar wavelet decomposition are its computational simplicity and speed. This method is less likely to be affected by environmental factors as compared to Gabor wavelet The Iris Recognition system's main work role is to provide compact and significant feature extraction algorithm for iris images with reduced false rejection rate. The extracted feature should have high discriminating capability and the segmented iris image should be free from artifacts [13]. Daugman [2] used a multiscale quadrature two-dimentional(2-D) Gabor filter to demodulate phase

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information of an iris image to create an Iriscode for authentication by comparing the Iriscode stored in database. Ma et al. [14] extracted features using spatial filter, this technique first converts the round image of the iris into rectangular pattern by unwrapping the circular image. Wildes et al. [9] uses Laplacian pyramid for analysis of the Iris images. Boles and Boashash [4] uses zero-crossing method with dissimilarity functions of matching. Lim et al. [3] 2D Haar Transform for feature extraction and classifier used are initialization method of the weight vectors and a new winner selection method designed for iris recognition. A. Poursaberi and H. N. Araabi [11] [12] use wavelet Daubechies2 for feature extraction and two classifiers such as Minimum Hamming Distance and Harmonic mean. L. Ma et al., [14] class of 1-D wavelet i.e., 1-D Intensity signals for feature extraction and for feature matching they have used expanded binary feature vector with exclusive OR operations. Md. Rabiul Islam et al., [16] used 4-level db8 wavelet transform for feature extraction and hamming distance with XOR for pattern matching. In our proposed research work we will be using wavelet family i.e., Haar wavelet, db2 wavelet and db4 wavelet for feature extraction and perform comparison on the basis of their performance evaluation. We also use Hamming Distance classifier to matching binary strings with enrolled entity in the database. To fasten the matching speed, a lower number of bits i.e., 348 bits is used in composing the iris code, as compared with other methods such as 2048 bits in [1] [2].

3. Outline of the paper

The paper is organized in the following manner; section (1) states the Introduction of the iris, its structure and advantages of it, in section (2) we cover related work of different researcher who worked on iris recognition with feature extraction and with classifier listed in tabular form, in section (4) we cover proposed research work with preprocessing i.e., image acquisition, iris localization & normalization, feature extraction with section (5), section (6), section(7) and section (8).In section (9) Matching and in section (10) experimental results and discussion, finally conclusion in section (11).

4. Proposed Research work

The system is consisting of 5 steps process to achieve the results. Therefore we can define proposed systems algorithm, which is as follows:

Step 1: Image Acquisition: It is the process of acquiring image, which is done using CCD camera.

Step 2: Iris localization: when eye is captured in CCD camera, next we need to acquire only iris pattern, extracting pupil part.

Step 3: Iris Normalization: After extracting pupil we achieve circular iris, which is to be converted to rectangular form.

Step 4: Feature Extraction: Decomposing and formation of iris pattern into iris codes.

Step 5: Matching or Verification: accept or reject by comparing stored enrolled pattern of database with submitted pattern.

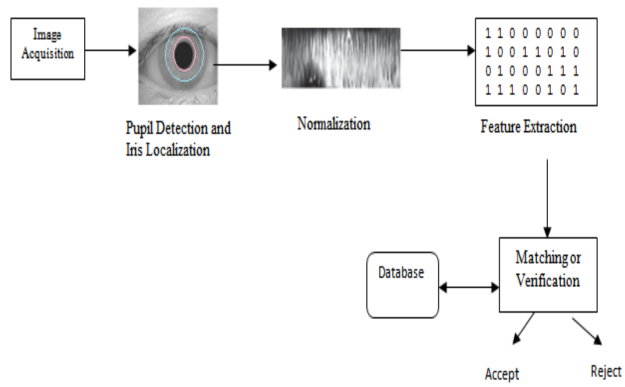

Fig2: step by step process for the proposed system

5. Image Acquisition

To capture high quality images for automated iris recognition systems is a major challenge. As given that the iris is a relatively small typically about 1 c.m. in diameter, and pupil is dark object, human are sensitive about their eyes, this matter requires careful engineering. Several points are of particular concern [17]. Acquiring images of Iris is major aspect of the research work with good resolution and sharpness for recognition system. We also need to maintain adequate intensity of source. Acquired images must be well framed. Further, as an integral part of this process, artifacts in the acquired images (that is due to secular reflections, optical aberrations, etc.) should be eliminated as much as possible[17]. Image acquisition is considered the most critical and important step to accomplish this used a CCD camera. And set the resolution to 640x480, the type of the image to jpeg, and the mode to white and black for greater details. Furthermore, took the eye pictures while trying to maintain appropriate settings such as lighting and distance to camera. In this research paper we are using publicly available database i.e., Institute of Automation, Chinese Academy of science (CASIA).

6. Iris localization

6.1 Pupil Detection using Scanning method

In our proposed method we use the scanning method for pupil detection, Daugman [7] uses Integro differential operator which has mathematical burden to system, wildes [6] uses gradient based edge detection, Poursaberi and Araabi[11] uses image morphological operator and suitable threshold and Li Ma[14] uses canny edge detector. Our proposed algorithm is as follows:

Step1: Read the original image from database.

Step2: Draw Histogram of original image and calculate threshold value of pixel intensity for pupil.

Step3: Mark and fix LF as start point on x-axis and begin scanning on x-axis, as pupil is darker part of the eye we get dark pixel only and assign them to 0 and where we get the grey pixel that is end of the dark pixel mark and fix it as RT and assign them to 1.

Step4: Mark and Fix UT and scan on y-axis we get dark pixel assign them to 0 and where the dark ends mark and fix it to LB assign the value as 1.

step5: To locate center C of pupil compute,

$$C=\left[\left(\frac{LF+RT}{2}\right),\left(\frac{UT+LB}{2}\right)\right]$$

Step 6: Determining pupil radius PR

PR1= abs (RT-C)

PR2= abs (C-LF)

PR3= abs (UB-C)

PR4= abs(C-UT)

Pradius_array [PR1, PR2, PR3, PR4]

PR=max [Pradius_array]

Now we can locate four points on the circumference of the pupil with LF, RT, UT, and LB. as shown in figure 6.Using region of interest based on color, we can detect the pupil but we must know the threshold value of pupil intensity. To find the threshold value of pupil intensity, draw the histogram of original image, which gives graphical representation between numbers of pixels v/s pixel intensity. As the pupil is black in color, the pupil pixel intensity lies closer to zero. Pupil has moderate size. Determine maximum number of pixels for intensity value, which is closer to zero. That value is threshold value of pupil intensity. If some noise occurs with pupil image, due to eye lids or eyelashes remove it. This means that there are certain pixels which lies near the pupil are of part of the iris section but having gray levels in the range of 0 to 5O. For pixels used a standard library function in the MATLAB bwareaopen(), which removes pixels having less number of count than a certain threshold. The formula for Threshold (T) is(as in eq1).

$$\left.\begin{array}{l} g(m,n) = 1\ if(m,n) \le T \\ g(m,n) = 0\ if(m,n) \ge T \end{array}\right\} \quad (1)$$

Fig3 shows the original image from database, Fig4 shows histogram of the original image, Fig5 shows image with only pupil constructed using thresholding.

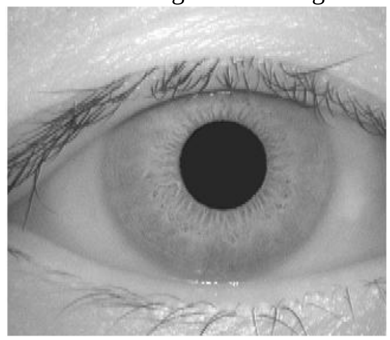

Fig3: Original image from database

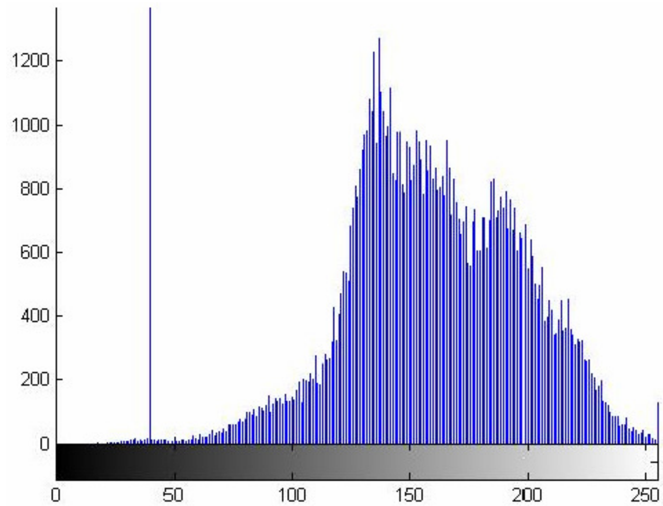

Fig 4: Histogram of original image

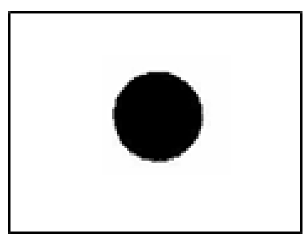

Fig 5: Image with only pupil

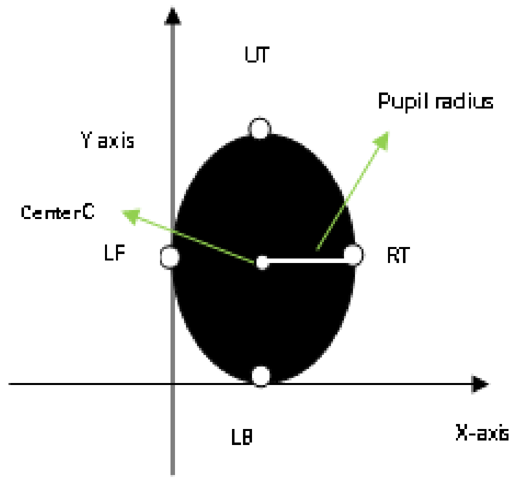

Fig 6: Four coordinate points (top, bottom, left, and right) and Center point

6.2 Iris radius

In our research work we consider the iris radius (as in eq2) [11].

$$\text{Iris\_ radius} = \text{pupil\_radius} + 38 \qquad (2)$$

where 38 is pixel defined in [11], we add this to pupil radius to obtain Iris radius. Therefore removing iris part from total part we get major part of Iris.

7. Iris Normalization

Steps for normalizing Iris image.

- Use of Daugman's rubber sheet model.
- Fixing the size of normalized figure.
- Converting normalized figure into matrix.

Detection of pupil is once completed then iris section can be extracted easily. In our proposed system we consider small part of iris section for further processing so we consider lower half part of iris section because most of the time upper iris section is densely covered by the eyelashes which can affect and decreases the accuracy of the system. As in our proposed work we are considering CASIA database which is consisting of iris images which are covered by the upper eyelashes as shown in Figure 12.Iris should be isolated and stored in a separate image because of its limits such as occlude iris part or iris covered with eye lashes and we also observe that possibility of pupil dilating and appearing of different size of pupil for different images. So we need to change the coordinate system by unwrapping the lower part of the iris i.e., lower 180 degree and mapping all the points within the boundary of the iris into their polar equivalent using Daugman's rubber sheet model as shown in Fig8. The size of the mapped image is fixed which means that taking an equal amount of points at every angle. Therefore if the pupil dilates the same points will be picked up and mapped again which makes mapping process stretch invariant. In our proposed research work we consider region of interest which is then isolated and transformed to a dimensionless polar system. The process is achieved to be a standard form irrespective of iris size, pupil diameter or resolution. Algorithm is based on Daugman's stretched polar coordinate system. Working idea of the dimensionless polar system is to assign an r and ϴ value to each coordinate in the iris that will remain invariant to the possible stretching and skewing of the image. For our transformation, the r value ranges from (0 to 32) and angular value spans the normal(0 to 180) this means we consider only 32 pixels in angle 0,1,2,------,180 and so on. Thus the process gives us the normalized image.

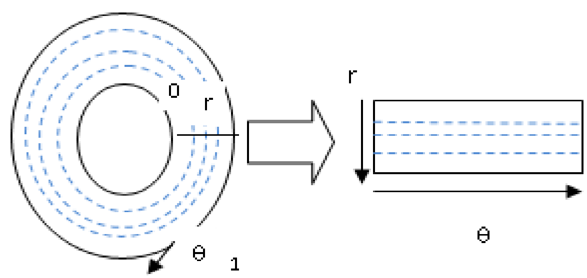

Fig7: Daugman's Rubber sheet model with annular iris zone is stretched to a rectangular block and dashed lines are sampling circles.

Remapped image is called normalized image, which is remapped for lower 180 degrees and following figures shows the results, Fig8 (a) shows original image Fig8 (b) shows localized iris and Fig8(c) and (d) shows iris normalization (isolated image for lower half). The remapping of the iris image I (x, y) from raw Cartesian coordinate to polar coordinates I (r, □) can be represented (as in eq 3).

$$I\,(x(r,\theta),\, y(r,\theta)) \longrightarrow I\,(r,\theta) \qquad (3)$$

Where r radius lies in the unit interval (0, 1) and □ is the angle between (0, 2π).

The eq. 3 yields from eq. 4 and eq.5 and they are

$$x(r,\theta)=(1-r)*x_p(\theta)+ r*x_i(\theta) \qquad (4)$$

$$y(r,\theta)=(1-r)*y_p(\theta)+r*y_i(\theta) \qquad (5)$$

where $(x_p(\theta)),y_p(\theta))$ and $(x_i(\theta), y_i(\theta))$ are the coordinates of pupil and iris boundary points respectively.

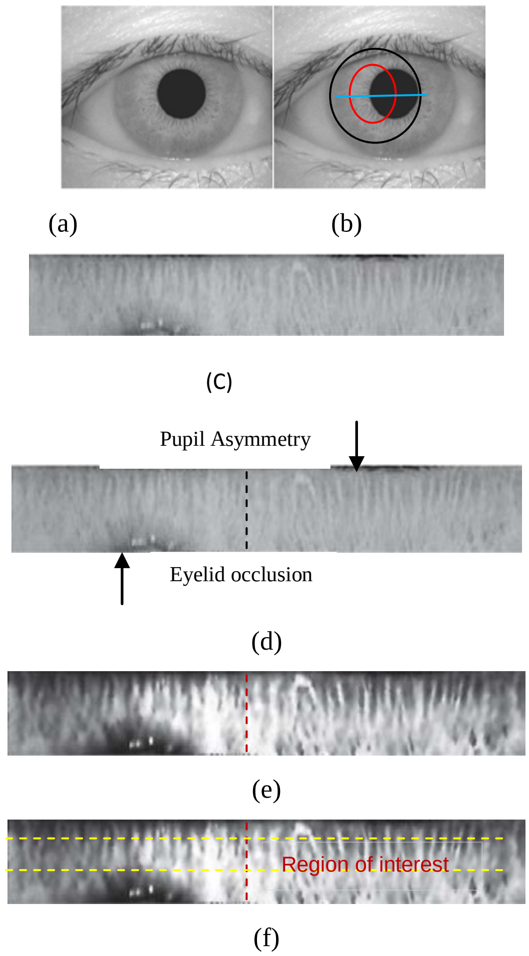

Fig 8: (a) Original Image (b) localized iris (c) & (d)Normalization of original image(Iris isolated image of lower half) (e) Enhanced iris (f) Region of interest

8. Feature Extraction

The iris has abundant texture information, so to provide accurate recognition of individual we need to extract the pattern of the iris image with out noise so that quality of matching will be enhanced. In our proposed system we are using Haar wavelet as feature extraction techniques and other wavelet families such as db2, db4. The following steps for feature extraction.

1. Apply 2D DWT with Haar up to 5-level decomposition.
2. Using 4th level, 5th level decomposition details constructed the feature vectors.
3. Feature vectors are in the form of bianaries.
4. Store these feature vectors.

wavelet is a kind of mathematical function used to divide continous time signal into different frequency components and study each components with a resolution that matches its scales.with a scaled and translated copies of a finite-length and fast decomposed waveform is know as mother wavelet.In the research work of M. Nabti et. al.,[19] proposed the feature extraction using wavelet maxima components first and then applying Gabor filter bank to extract all features. The decomposition level considered by Shimaa M. Elserief et. al.,[22] are four level using 2D discrete wavelet transform(DWT) with four sub bands at each stage. Gabor and Wavelet transform are typically used for analyzing the persons iris patterns and extraction of features from them[2],[3],[4],[11],[14],[21]. In our proposed system we consider the five level decomposition with 2D(DWT) as in Fig9 and Haar and performance evaluation is done for differnet mother wavelet such as Haar wavelet, Daubenchies wavelet(db2 and db4). The first DWT was invented by the Hungarian mathematician Alfred Haar.

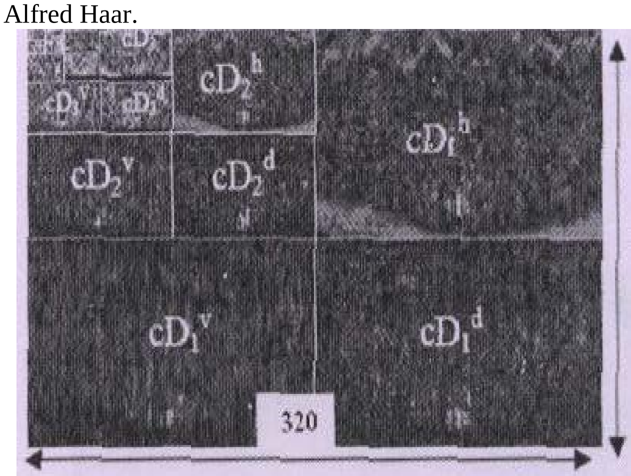

Fig9: 5- level haar wavelet decomposition

9. Matching

We calculate two irises are from the same class for their similarity Comparision between two feature vectors. Conceptualizing using Daugman's [1], [2], [7], [11], [12] we develop step by step pseudocode approach which is proposed to perform matching process using Hamming Distance.

Step 1: Compare Query image feature vector with stored image feature vector of database.

Step 2: Hamming Distance is calculated for each image feature vector.

Step 3: Finally Calculate minimum Hamming Distance.

The process of matching is identification and verification of different iris is carried out with above steps for the Comparision of two iris pattern. If Hamming Distance is greater between two feature vector than greater the difference between them. Two similar irises will fail the test since the difference between them will be small. The

Hamming Distance (HD) between two Boolean vectors is defined (as in eq (6)).

$$HD=\frac{1}{N}\sum_{j=1}^{N} C_A(j) \oplus C_B(i) \qquad (6)$$

Where CA and CB are the coefficients of two iris images, N is the size of the feature vector, Ex-OR is the Boolean operator that gives a binary 1 if the bits at the position j in CA , CB are different and 0 if they are similar. Daugman [23] conducted tests on very large number of iris patterns i.e. up to 200 Billion irises images and resulted that the maximum Hamming distance that exists between two irises belonging to the same person is 0.32.

- If HD<= Threshold then Match successful.
- If HD> Threshold then Match unsuccessful i.e. different person or left and right eye iris of the same person.

10. Experimental Results and Discussion

10.1 Results

We calculate and plot Intra class distribution., testing the image for with in the class and inter class testing the image with other class, we also achieve false match rate and false non match rate as show in Fig10, our system is giving encouraging results with false Non match rate is 0.25% and False match rate is 0.11% for Haar wavelet with different hamming distance. Fig11 signifies the score distribution for imposter and genuine for different hamming distance, it states that as if HD is less FAR reduces and FRR increases and if HD increases FAR increases and FRR decreases this leads to plot ROC curve which is as shown in Fig12.

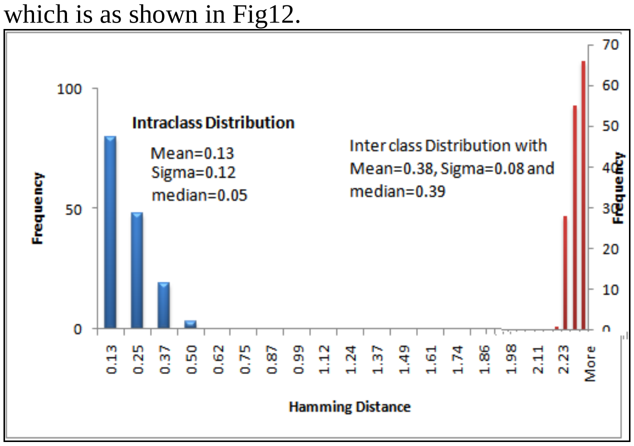

Fig10: frequency distribution of HD for intraclass and interclass

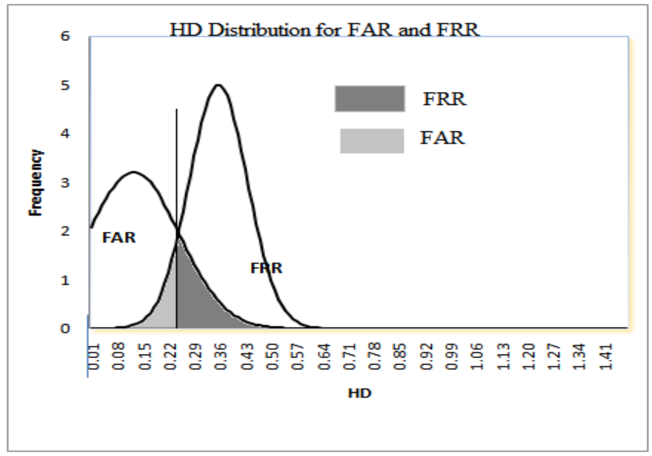

Fig11: score distribution for imposter and genuine for different hamming distance

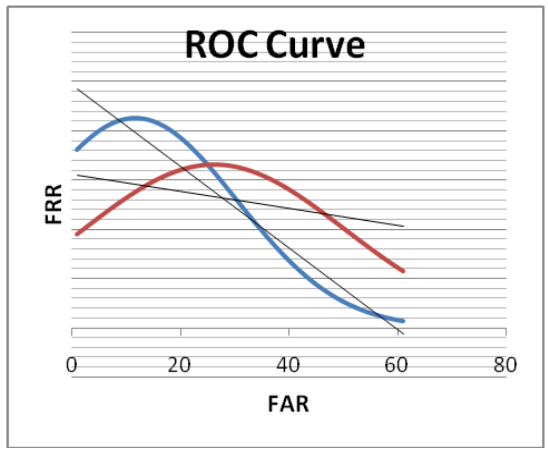

Fig12: ROC curve for the system for different HD

10.2 Comparision and Discussion

The previous existing proposed methods for iris recognition by Daugman [1-2], Wildes [7], Boles et al. [5], Li Ma et al. [3] are the best know. Moreover they explain and present different way of details for iris recognition in identification and verification modes. Poursaberi [12] works on wavelet for partially occluded iris texture image, Li Ma[3,15] also works on iris texture analysis and give encouraging results as comparing other methods Daugman results are quite encouraging in terms of accuracy and efficiency. Therefore, we analyze and compare our proposed work with exiting methods. Our method is using CASIA Iris database for verification and identification modes and found that our results are also encouraging in terms of accuracy, efficiency and reduced computational complexity. We make comparison of our results with methods [1-2, 7, 3, 15, 12] of published results. Table1 and Fig13 give the comparison in terms of CRR and EER.

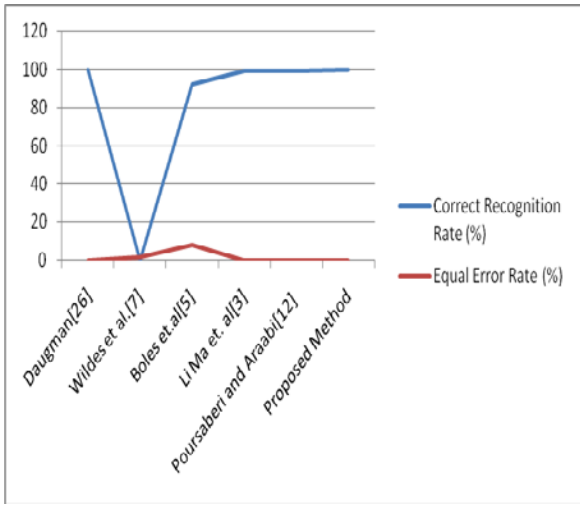

Fig13: Comparision of CRR and EER

10.3 Future work

Our experimental results demonstrates that enhance method for pupil extraction and five level decomposition for iris image has significantly encouraging and promising results in terms of EER and CRR. Our Feature work will include:

- Improving effectiveness in matching in terms of computational cost time.
- We are also currently working on global textural analysis with more levels of decomposition with accurate feature
- Extraction for larger database similar to Daugman's methods.

Table1. Comparision of CRR and EER

Methods	Correct Recognition Rate (%)	Equal Error Rate (%)
Daugman[26]	100	0.08
Wildes et al.[7]	-	1.76
Boles et.al[5]	92.64	8.13
Li Ma et. al[3]	99.60	0.29
Poursaberi and Araabi[12]	99.31	0.2687
Proposed Method	99.82	0.18

11. Conclusion

In this paper, we enhance the iris recognition algorithm based on Haar wavelet with quality texture features of iris within feature vector, even though obstruction of eyelashes and eyelids and our proposed method also works perfect for narrowed eyelid as we consider small part of the iris even though it is occluded. So, it increases the overall accuracy of the system with less computational cost in terms of time as compared with methods of Daugman [26] and Li Ma [3] and high recognition rate. The results also show the performance evaluation with different parameters with different class of variations i.e., Inter class hamming distance variation and Intra class hamming distance variation.

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