

Time Series Crime Prediction Using a Federated Machine Learning Model

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Abstract

Crime is a common social problem that affects the quality of life. As the number of crimes increases, it is necessary to build a model to predict the number of crimes that may occur in a given period, identify the characteristics of a person who may commit a particular crime, and identify places where a particular crime may occur. Data privacy is the main challenge that organizations face when building this type of predictive models. Federated learning (FL) is a promising approach that overcomes data security and privacy challenges, as it enables organizations to build a machine learning model based on distributed datasets without sharing raw data or violating data privacy. In this paper, a federated long short-term memory (LSTM) model is proposed and compared with a traditional LSTM model. Proposed model is developed using TensorFlow Federated (TFF) and the Keras API to predict the number of crimes. The proposed model is applied on the Boston crime dataset. The proposed model's parameters are fine tuned to obtain minimum loss and maximum accuracy. The proposed federated LSTM model is compared with the traditional LSTM model and found that the federated LSTM model achieved lower loss, better accuracy, and higher training time than the traditional LSTM model.

Keywords: Federated Learning (FL), Deep Learning, Tensor-Flow Federated (TFF), Keras, Data Privacy, Long Short-Term Memory (LSTM).

1. INTRODUCTION

1.1 Crimes

According to previous studies, crime is a commonsocial and economic problem that affects quality of life and lowers national and individual economic growth [1]. There is a theory that has shown that crime is predictable, as criminals tend to commit crimes that they have successfully committed before [2]. With an increase in crimes, countries and law-enforcement agencies are continuously in search of a crime prediction model to preemptively predict crime and enable its prevention. However, building this type of model is a data privacy challenge as raw crime data are shared. Therefore, there is an urgent need for a new approach that enables countries and law enforcement agencies to build a crime

predictive model without violating privacy.

1.2 Federated learning

Google introduced federated learning in 2016 as a new machine learning paradigm. The main purpose of federated learning is to build a collaborative machine learning model based on distributed datasets that preserve data privacy by training the collaborative model without sharing raw data [3], [4].

In federated machine learning, each client (i.e., data organization, data server, mobile device, or IoT device) participates in the learning process, as each client has their own dataset and local machine learning model. There is a centralized server in a federated environment that has a centralized machine learning model (global model), which aggregates the client's model parameters (model gradients). Each client locally trains on a dataset and shares the model parameters or weights with the global model. The global model makes several iterations to collect the distributed client model updates without sharing raw data [3], [4] as shown in Fig 1.

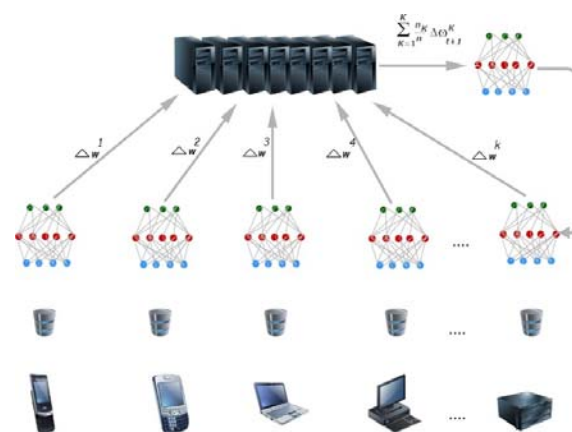


Fig. 1 The global model collection of local models updates.

Federated learning can be applied in several disciplines, such as smart retail environments, sales, multiparty databases, and smart health care systems [5] for the following reasons:

- It does not require data transfer to a centralized server to train the model. Training occurs on each client locally, and then the model is updated on the global model.
- It preserves data privacy. This learning model implements several methodologies such as differential privacy, homomorphic encryption, and secure multiparty computation (SMC).
- It enables a third party to be included in the training process as long as there are no privacy violations, and data are secured.
- It requires less computational power, as the training process is completed by each client. The centralized model's primary role is to collect gradient updates from the distributed models.
- It utilizes decentralized algorithms that may provide similar or better performance as centralized algorithms[4].

In environments where data privacy and data security are extremely important, it is highly recommended to use federated machine learning rather than traditional machine learning.

1.4 Long Short-Term Memory (LSTM)

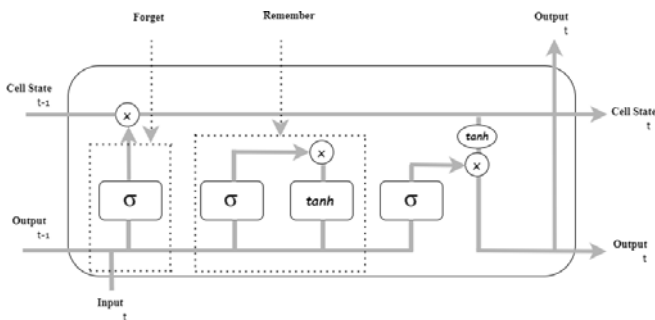


Fig. 2 LSTM architecture.

LSTM as shown in Fig 2. is a special kind of RNN that is capable of handling long-term dependencies. It was introduced by Hochreiter & Schmidhuber (1997), and due to its capability to solve a large variety of problems, it has become a popular and widely used architecture. The main advantage of LSTM is that it is designed to remember information for a long period of time to prevent long-term dependency problems.

The LSTM architecture contains as following components:

- Forget Gate: This gate decides which information should be forgotten and which should be kept. Both

current input and output information from previous hidden state is accepted at this gate. Then, the sigmoid function is applied to the information to obtain an input between 0 and 1. Input values closer to 0 will be forgotten.

- Input Gate: This gate accepts the current input information and the previous hidden state. The sigmoid function is applied to obtain values between 0 and 1, and the tanh function is applied to obtain values between -1 and 1. Then, the two outputs are multiplied to represent the cell state.
- Output Gate: This gate decides what the next hidden state should be based on the output from the input gate.

2. MOTIVATION AND CONTRIBUTIONS

Federated machine learning overcomes the challenges faced by the traditional machine learning model as follows:

- To train the traditional model, all data sources must be moved to a centralized server to start the training process, which violates data privacy and data security rules, especially in military and health care organizations [6].
- In traditional learning, third-party companies are part of model building and training processes. They should understand, prepare, clean, restructure and reshape the data to be suitable for model training, which violates data privacy and data security rules, especially in military and health care organizations [6].
- Traditional machine learning requires a massive amount of historical data to train the model and to achieve acceptable accuracy (cold start) [6],[7].
- Traditional machine learning requires a large amount of time and high computational power to train the model and achieve acceptable accuracy, which may cause a delay for organizations, especially recently opened ones [6].
- Federated learning has the potential to overcome these issues, as it allows data servers to build and train their models locally, sharing only their model gradients without violating any data privacy rules [1].

The principal objective of this study is to compare a federated machine learning model and a nonfederated machine learning model by building federated and nonfederated LSTM models to forecast the number of

crimes periodically and apply them to the same datasets. The comparison between the models is based on loss, accuracy and training time.

3. RELATED WORK

Abdul Salam, M., Taha, S. and Ramadan, M.[6]. Proposed a federated machine learning model to predict whether a patient has COVID-19 from their chest X-ray images and descriptive data. The authors compared the federated model and the traditional model and found that the federated model resulted in better prediction accuracy, lower prediction loss, and higher training time than the traditional model.

Kim, S., Joshi, P., Kalsi, P.S. and Taheri, P.[8]. Proposed two crime prediction models by using the k-nearest neighbor and boosted decision tree algorithms. The authors implemented these two models on the Vancouver crime dataset and found that the models resulted in crime prediction accuracies between 39% and 44%, respectively.

Reier Forradellas, R.F., Nãñez Alonso, S.L., Jorge-Vazquez, J. and Rodriguez, M.L.[9]. Proposed a crime prediction model according to communes. The authors applied their model by using the Python programming language, implementing the SEMMA model (sample, explore, modify, model, and assess), and applying their model on the Buenos Aires crime dataset.

Zhang, X., Liu, L., Xiao, L. and Ji, J.[10]. Proposed a comparison to assess the predictive power of several machine learning algorithms by applying them on the coastal city crime dataset from southeastern China. Algorithms included in the comparison were such as LSTM, KNN, random forest, support vector machine, naive Bayes, and convolutional neural networks. The authors found that LSTM outperformed the other algorithms.

Wheeler, A.P. and Steenbeek, W.[11]. Introduced a machine learning model to spatially predict interpersonal robbery crimes in the city of Dallas, Texas by using a random forest (RF) algorithm. The authors found that the random forest (RF) algorithm provided more accurate predictions than kernel density estimation (KDE) and risk terrain modeling (RTM).

Bappee, F.K., Junior, A.S. and Matwin, S.[12]. Proposed a machine learning model to predict the relationship between criminal activity and geographical regions and applied the model on the Nova Scotia (NS) crime dataset. The authors selected different categories of crime to identify hot points from crime hotspots.

Prabakaran, S. and Mitra, S.[13]. Proposed a survey for various data mining techniques used in crime analysis and prediction. The authors divided crimes into different types, such as fraud detection, violent crime, traffic violence, sexual assault and cybercrime. The authors mentioned general data mining techniques used to detect the crimes.

Ramasubbareddy, S., Srinivas, T.A.S., Govinda, K. and Manivannan, S.S.[14]. Proposed a crime prediction

system (CPS) to predict and analyze the probability of crime occurrence by implementing an a priori algorithm in model building. The authors created a sample dataset from several city crime datasets and implemented the naive Bayesian algorithm and decision tree algorithm to predict crime in a certain area.

Chun, S.A., Avinash Paturu, V., Yuan, S., Pathak, R., Atluri, V. and R. Adam, N.[15]. Proposed a machine learning model to predict whether a criminal will commit a new crime in the future within a window of time. The authors found that the data pooling method outperformed multiclass crime prediction.

Nguyen, T.T., Hatua, A. and Sung, A.H.[16]. Proposed a machine learning model to predict the types of crimes that will occur based on location and time. The authors applied the model on the Portland Police Bureau (PPB) crime dataset and implemented several algorithms, such as support vector machines (SVMs), random forests, gradient boosting machines, and neural networks.

Hajela, G., Chawla, M. and Rasool, A.[17]. Proposed a spatiotemporal machine learning model coupled with 2-dimensional hot spot analysis to cluster and predict crime hotspots. The authors found that each crime showed geographical patterns such as weather and location and found that the model with hotspot analysis achieved better performance.

Xu, J., Glicksberg, B.S., Su, C., Walker, P., Bian, J. and Wang, F.[18]. Proposed a survey to summarize federated learning technologies, especially in the biomedical space. The authors mentioned the statistical challenges, system challenges, and privacy issues faced by federated learning and summarized the general solutions to these challenges.

Li, Q., He, B. and Song, D. [19]. Proposed model-contrastive federated learning (MOON) as a model to solve the problem of nonindependently and nonidentically distributed (NON-IID) dataset. The authors found that the proposed model outperformed state-of-the-art models.

Zhang, Weishan, et al. [20]. Proposed a novel dynamic fusion-based federated learning approach for image analysis to detect COVID-19 infections. The authors compared the proposed model with GhostNet, ResNet50, and ResNet101, and found that the proposed approach provided better accuracy than the other methods.

4. MATERIALS AND METHODS

This section addresses the applied tools and methodologies used to build the federated and traditional models to forecast crime time series. In this paper, TensorFlow with Keras API was used to build the federated and traditional models using the following steps:

4.1 Crime Traditional LSTM Model

Algorithm 1 Crime Traditional LSTM Model

```

1: Variables Initializing
2: crime_series ← read_from_boston_crime_csv()
3: crime_series ← drop_unused_columns()
4: while Data Still Stationary do
5:   crime_series ← get_data_next_difference()
6:   crime_series ← get_adfuller_p_value()
7: end while
8: series_supervised ← transforming_to_supervised()
9: crime_series_scaled ← MinMaxScaler()
10: train_data, test_data ← splitting_crime_data()
11: train_dataset ← Create_tensors_dataset(train_data)
12: train_dataset ← shifting_by_window
13: train_dataset ← flatten_dataset(train_data)
14: train_dataset ← shuffling_dataset(train_data)
15: train_dataset ← batching_dataset(train_data)
16: test_dataset ← Create_tensors_dataset(test_data)
17: test_dataset ← shifting_by_window(test_data)
18: test_dataset ← flatten_dataset(test_data)
19: test_dataset ← shuffling_dataset(test_data)
20: test_dataset ← batching_dataset(test_data)
21: test_dataset ← prefetching_dataset(test_data)
22: lstm_model ← Create_keras_model()
23: compile_keras_model(optimizer, loss_function)
24: for round in clients_no do
25:   history ← Train_The_Model(train_dataset)
26:   accuracy_list ← Save_Accuracy_Mertics(history)
27: end for
28: Display_And_Plot_The_Results(accuracy_list)
    
```

4.2 Crime Federated LSTM Model

Algorithm 2 Crime Federated LSTM Model

```

1: Variables Initializing
2: crime_series ← read_from_boston_crime_csv()
3: crime_series ← drop_unused_columns()
4: while Data Still Stationary do
5:   crime_series ← get_data_next_difference()
6:   crime_series ← get_adfuller_p_value()
7: end while
8: series_supervised ← transforming_to_supervised()
9: crime_series_scaled ← MinMaxScaler()
10: train_data, test_data ← splitting_crime_data()
11: train_dataset ← Create_tensors_dataset(train_data)
12: train_dataset ← shifting_by_window()
13: train_dataset ← flatten_dataset(train_data)
14: train_dataset ← shuffling_dataset(train_data)
15: train_dataset ← batching_dataset(train_data)
16: test_dataset ← Create_tensors_dataset(test_data)
17: test_dataset ← shifting_by_window(test_data)
18: test_dataset ← flatten_dataset(test_data)
19: test_dataset ← shuffling_dataset(test_data)
20: test_dataset ← batching_dataset(test_data)
21: test_dataset ← prefetching_dataset(test_data)
22: federated_dataset ← repeating_dataset()
23: lstm_model ← Create_keras_model()
24: federated_lstm_model ← from_keras_model()
25: iterative_process ← federated_average_process()
26: for round in clients_no do
27:   history ← Train_The_Model(federated_dataset)
28:   accuracy_list ← Save_Accuracy_Mertics(history)
29: end for
30: Display_And_Plot_The_Results(accuracy_list)
    
```

5. THE PROPOSED MODEL

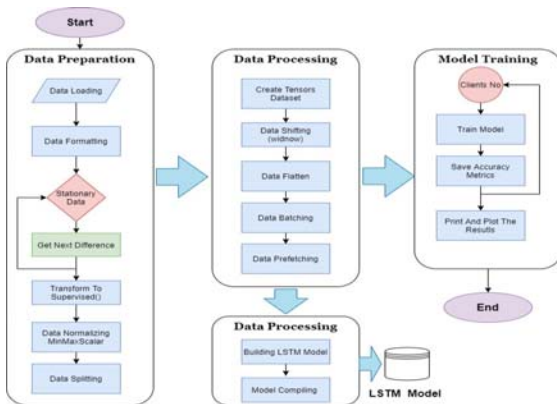


Fig. 3 The proposed traditional LSTM model for crime time series forecasting.

The proposed traditional LSTM model for crime time series forecasting.

5.1 Crime Traditional LSTM Model:

As shown in Fig. 3, the proposed LSTM model building steps are:

- Data Loading
The data are loaded by using the pandas read_csv() API.
- Data Formatting

Unused columns are removed and date columns are formatted.

- **Data Checking**
An adfuller test is used to check whether the data are stationary.
- **Obtain Next Difference**
The next data difference is obtained.
- **Transform to Supervised**
The data are transformed to supervised data to enhance the model accuracy.
- **Data Splitting**
The data are split into testing and training sets.
- **Create Keras Tensor Dataset**
The Keras dataset is created by using the `from_tensor_slices` API.
- **Data Shuffling**
The data are shuffled to avoid obtaining the same results.
- **Data Flattening**
The ndarray dataset is flattened to 1 darray dataset.
- **Data Batching**
Data are grouped into batches to enhance their performance.
- **Data Prefetching**
Data are cached in memory for better performance.
- **Create LSTM Deep Learning Model**
The sequential deep learning model is built using the Keras API.
- **Model Compiling**
The model optimizer and loss function are identified.
- **Model Training**
The model performance is evaluated by printing and plotting the evaluation metrics.

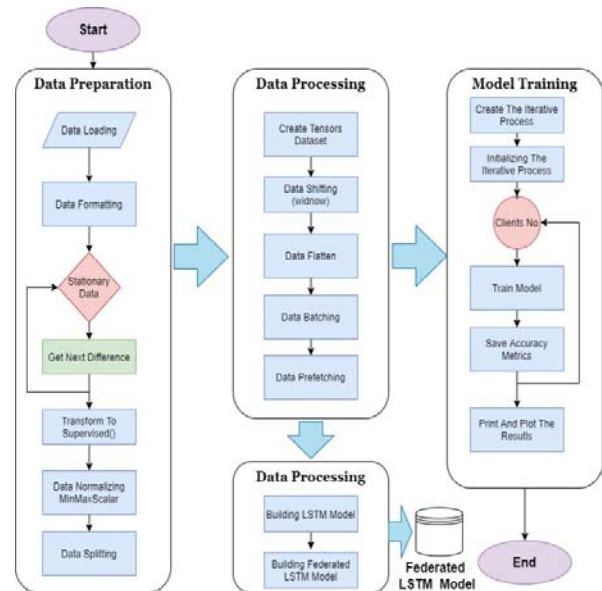
5.2 Crime Federated LSTM Model:

As shown in Fig. 4, the proposed LSTM model building steps are:

- **Data Loading**
Data are loaded by using the pandas `read_csv()` API.
- **Data Formatting**
Unused columns are removed, and date columns are formatted.
- **Data Checking**
An adfuller test is used to check whether the

data are stationary.

Fig. 4. The proposed federated LSTM model for crime time series forecasting.



- **Obtain Next Difference**
The next data difference is obtained.
- **Transform to Supervised**
The data are transformed to supervised data to enhance the model accuracy.
- **Data Splitting**
The data are split into testing and training sets.
- **Create Keras Tensor Dataset**
The Keras dataset is created by using the `from_tensor_slices` API.
- **Data Shuffling**
Data are shuffled to avoid obtaining the same results.
- **Data Flattening**
The ndarray dataset is flattened to 1 darray dataset.
- **Data Batching**
Data are grouped into batches to enhance their performance.
- **Data Prefetching**
Data are cached in memory for better performance.
- **Creating Federated Data**
Data are repeated to simulate the number of

clients.

- Create LSTM Deep Learning Model
The sequential deep learning model is built using theKeras API.
- Create Federated Learning Model
The deep learning model is created by using the from_keras_model Keras API .
- Create a Federated Average Process
Local model gradients are generated, and updates are sent to the global model.
- Model Initialization and Training
The iterative process is initiated, and training is started.
- Model Training
The model performance is evaluated by printing and plotting the evaluation metrics.

5.3. Hardware Specifications

Our experiments were conducted using the hardware specified in Table 1.

TABLE 1: HARDWARE SPECIFICATIONS FOR THE MACHINE USED DURING THE EXPERIMENTS

| Criteria | Specification |
|----------|-------------------------------------|
| CPU | Intel Core i7-6700HQ |
| GPU | NVIDIA GeForce GTX 950 M (4GB DDR3) |
| Storage | 256GB SSD + 1000GB HDD |
| RAM | 16GB DDR3 L, 2133 MHz |

6. RESULTS

After applying the two proposed models, traditional LSTM and federated LSTM, on the Boston crime dataset, we found that:

- The proposed federated model resulted in a lower prediction loss than the proposed traditional model, as shown in Fig. 5, Fig. 6, Table 2 and Table 3.
- The proposed federated model resulted in a lower prediction MAE, MSE, RMSE and log_cosh_error than the proposed traditional model, as shown in Fig. 5, Fig. 6, Table 2 and Table 3.
- The proposed federated model resulted in a slightly higher prediction RMSLE, cosine_similarity and MAPE than the proposed traditional model, as shown in Fig. 5, Fig. 6, Table 2 and Table 3.

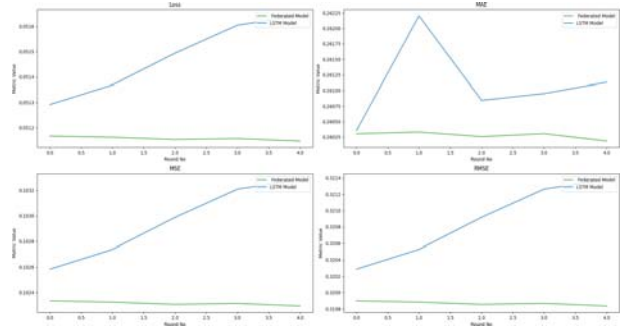


Fig. 5 Comparison of the loss, MAE, MSE, RMSE metrics of the federated and traditional models.

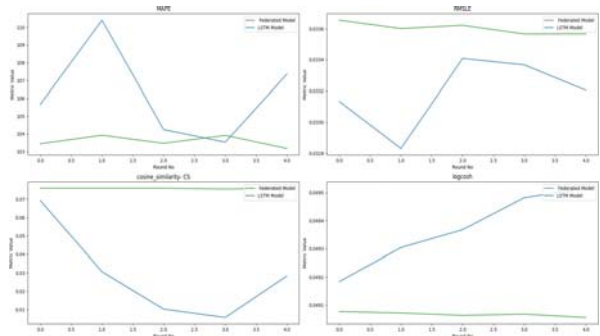


Fig. 6 Comparison of MAPE, RMSLE, cosine similarity, and logcoshMetrics comparison of the federated and traditional models.

TABLE 2: CRIME LSTM MODEL METRICS

| R | MAE | MSE | RMSE | MAPE | RMSLE | Cosine Similarity | LogCosh Error | Loss |
|---|--------------|--------------|---------------|---------------|----------------|-------------------|---------------|-----------------|
| 1 | 0.2603 51 | 0.1025 84 | 0.32028 7 | 0.03313 38 | 0.069239 5 | 0.0491839 | 105.663 | 0.0512918 23 |
| 2 | 1 38 | 0.1027 38 | 0.32052 7 | 0.03283 1 | 0.03064 7 | 0.0493043 | 110.408 | 0.0513687 88 |
| 3 | 0.1029 88 | 2 | 0.32091 7 | 0.03340 81 | 0.010215 | 0.0493678 | 104.246 | 0.0514939 43 |
| 4 | 0.1032 1 | 0.3212 63 | 3 | 0.03336 7 | 0.005675 37 | 0.049482 | 103.536 | 0.0516048 97 |
| 5 | 0.1032 84 | 0.3213 78 | 0.03320 41 | 4 | 0.028376 8 | 0.0495162 | 107.363 | 0.0516418 14 |

TABLE 3: CRIME FEDERATED LSTM MODEL METRICS

| R | MAE | MSE | RMSE | MAPE | RMSLE | Cosine Similarity | LogCosh Error | Loss |
|---|--------------|--------------|---------------|---------------|---------------|-------------------|---------------|-----------------|
| 1 | 0.26030 3 | 0.10233 5 | 0.31989 8 | 0.033654 6 | 0.07604 99 | 0.0490769 | 103.447 | 0.0511673 95 |
| 2 | 1 5 | 0.10232 5 | 0.31988 3 | 0.033600 8 | 0.07604 99 | 0.0490717 | 103.927 | 0.0511624 4 |
| 3 | 0.10230 7 | 2 | 0.31985 5 | 0.033621 9 | 0.07604 99 | 0.0490635 | 103.47 | 0.0511535 74 |
| 4 | 0.10231 5 | 0.31986 7 | 3 | 0.033565 7 | 0.07559 59 | 0.0490677 | 103.919 | 0.0511573 5 |
| 5 | 0.10229 5 | 0.31983 5 | 0.033566 3 | 4 | 0.07604 99 | 0.0490558 | 103.178 | 0.0511473 64 |

7. DISCUSSION

7.1 Datasets

In this work, the Boston crime dataset is used:

- This dataset contains crime incident reports provided by the Boston Police Department

(BPD).

- This dataset includes crime reports from June 14, 2015, to September 3, 2018.
- This dataset contains 319,073 incident reports. This dataset is downloaded from <https://www.kaggle.com/AnalyzeBoston/crimes-in-boston>.
- The number of clients is 10, to stimulate the federated environment.
- Table 4: shows the columns description and action taken for the model training process.

TABLE 4: CRIME INCIDENT REPORTS IN BOSTON.

| Column Name | Column Description | Data Type | Contains Null | Action |
|---------------------|---|-----------|---------------|---------|
| INCIDENT_NUMBER | incident id unique | number | no | |
| OFFENSE_CODE | offense code id | number | yes | removed |
| OFFENSE_CODE_GROUP | offense description | string | yes | removed |
| OFFENSE_DESCRIPTION | offense description | string | yes | removed |
| DISTRICT | district code | string | yes | removed |
| REPORTING_AREA | reporting area code | number | yes | removed |
| SHOOTING | is it a shooting incident (Y,N) | string | yes | removed |
| OCCURRED_ON_DATE | incident date | date | yes | |
| YEAR | incident year | number | yes | |
| MONTH | incident month | number | yes | |
| DAY_OF_WEEK | incident day description | string | yes | removed |
| HOUR | incident hour | number | yes | removed |
| UCR_PART | uniform crime reporting | string | yes | removed |
| STREET | incident street | string | yes | removed |
| Lat | incident latitude | float | yes | removed |
| Long | incident longitude | float | yes | removed |
| Location | incident location (latitude, longitude) | float | yes | removed |

- To create a time-series dataset, the data should be grouped to represent the number of incidents per date.
- After removing the unused columns and grouping data by date, the dataset now contains the (incident_date, incident_no and incident_day_no) columns.
- Table 5: contains the column description after removing unused columns and grouping the data to represent the number of crime incidents per date.

TABLE 5: NUMBER OF CRIME INCIDENTS PER DATE IN THE CITY OF BOSTON.

| Column Name | Column Description | Data Type | Contains Null |
|-----------------|---|-----------|---------------|
| INCIDENT_DATE | incident date | date | no |
| INCIDENT_DAY_NO | the incident date after converting it to number | number | no |
| INCIDENT_NUMBER | number of incident | number | no |

- After preparing the dataset, the data are plotted

to determine whether they are stationary, as shown in Fig. 7.

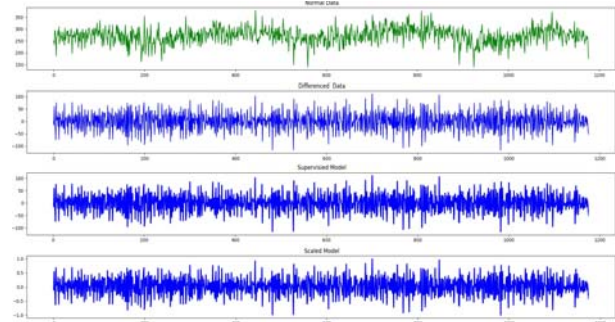


Fig. 7 Crime dataset analysis.

- The data density is plotted to determine the type of data distribution, as shown in Fig. 8.

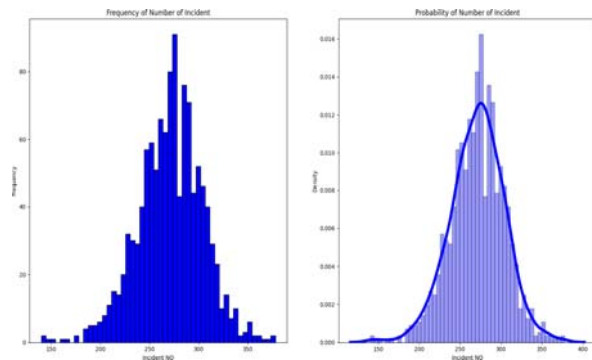


Fig. 8 Crime dataset density.

8. RESULTS DISCUSSION

The model parameters were modified multiple times to achieve the maximum accuracy and minimum loss. These modifications:

- Model optimizer
- Window size
- Batch size
- Split ratio
- Number of rounds

8.1 Model Optimizer

- In this study, the optimizer parameters were changed multiple times to determine the efficacy of changing the optimizer on the performance of the proposed models.
- Table 6: shows the model parameter values during the model training process.
- After applying the SGD optimizer model to the crime dataset, we found the accuracy metrics, as shown in Fig. 9.
- After applying the Adam optimizer model on the crime dataset, we found the accuracy metrics, as shown in Fig. 10.

| | | |
|---------------------|----------------------------|----------------------------|
| Window size | 60 | |
| Dataset split ratio | 80% training - 20% testing | 80% training - 20% testing |
| Round number | 5 | |

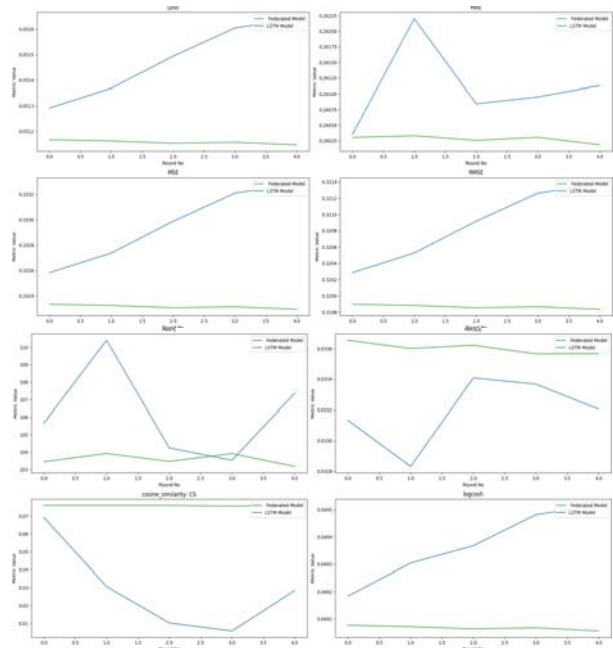


Fig. 9 SGD optimizer loss comparison.

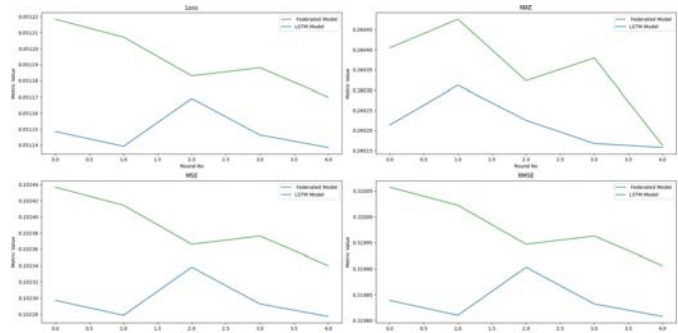
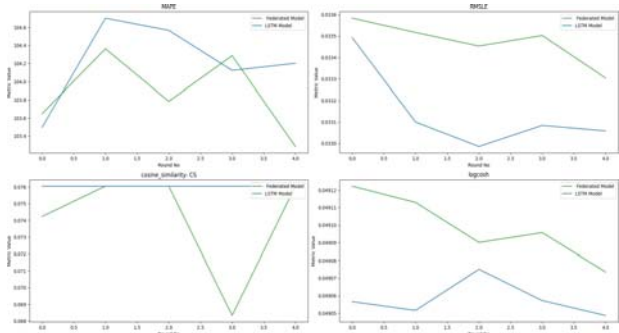


Fig. 10 Adam optimizer loss comparison.

- After performing the model training by applying the SGD and Adam optimizer, we found that:
 - In the federated LSTM model, the SGD optimizer resulted in lower loss and accuracy metrics than the Adam optimizer, as shown in Fig. 11.
 - In the traditional LSTM model, the SGD optimizer resulted in lower loss and accuracy metrics than the Adam optimizer, as shown in Fig. 11.

TABLE 6: MODEL PARAMETER VALUES DURING THE TRAININGPROCESS.

| KPI Name | LSTM Model | Federated LSTM Model |
|------------|-----------------|----------------------|
| Loss | Huber | Huber |
| Optimizer | SGD, ADAM | SGD, ADAM |
| Metrics | All | All |
| Data size | 319,073 samples | 319,073 samples |
| Batch size | 4 | 4 |



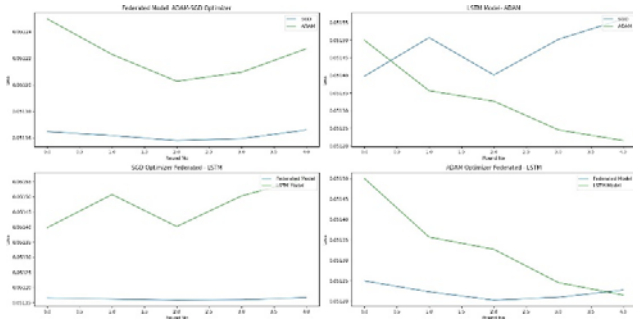


Fig. 11 SGD vs. Adam optimizer loss comparison.

8.2 Window size:

- In this study, the window size parameter was changed multiple times to determine the efficacy of changing the window size on the performance of the proposed models.
- Table 7: shows the model parameter values during the model training process.

TABLE 7: MODEL PARAMETER VALUES DURING THE TRAINING PROCESS.

| KPI Name | LSTM Model | Federated LSTM Model |
|---------------------|----------------------------|----------------------------|
| Loss | Huber | Huber |
| Optimizer | SGD | SGD |
| Metrics | All | All |
| Data size | 319,073 samples | 319,073 samples |
| Batch size | 4 | 4 |
| Window size | 60,100,150,200 | 60,100,150,200 |
| Dataset split ratio | 80% training - 20% testing | 80% training - 20% testing |
| Round number | 5 | 5 |

- After performing the model training, with different values of window size, we found that
 - In the federated LSTM model, a lower window size resulted in a lower prediction loss, as shown in Fig. 12.
 - In the traditional LSTM model, a lower window size resulted in a lower prediction loss, as shown in Fig. 12.

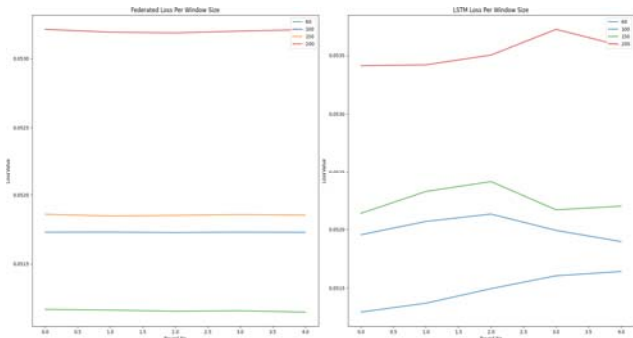


Fig. 12 Window size loss comparison.

8.3 Batch size:

- In this study, the batch size parameter was changed multiple times to determine the efficacy of changing batch size on the performance of the proposed models.
- Table 8: contains the model parameter values during the model training process.
- After performing the model training, with different values of window size, we found that
 - In the federated LSTM model, a lower batch size resulted in a slightly lower prediction loss, as shown in Fig. 13.
 - In the traditional LSTM model, a higher batch size resulted in a lower prediction loss, as shown in Fig. 13.

TABLE 8: MODEL PARAMETER VALUES DURING THE TRAINING PROCESS.

| KPI Name | LSTM Model | Federated LSTM Model |
|---------------------|---------------------------|---------------------------|
| Loss | Huber | Huber |
| Optimizer | SGD | SGD |
| Metrics | All | All |
| Data size | 319,073 samples | 319,073 samples |
| Batch size | 4,8,16,32 | 4,8,16,32 |
| Window size | 60 | 60 |
| Dataset split ratio | 80% training - 20%testing | 80% training - 20%testing |
| Round number | 5 | 5 |

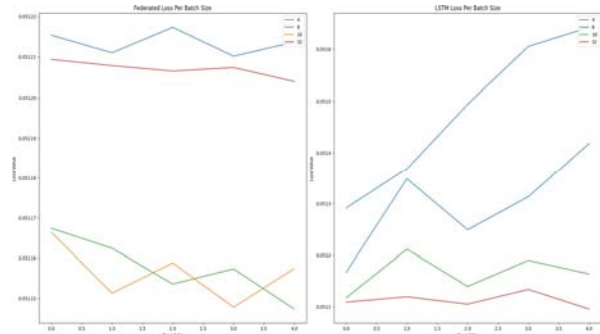
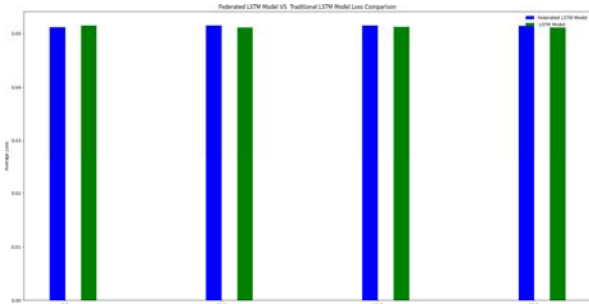


Fig. 13 Batch size loss comparison.

8.4 Split ratio:

- In this study, the split ratio parameter was changed multiple times to determine the efficacy of changing the split ratio on the performance of the proposed models.
- Table 9: shows the model parameter values during the model training process.
- After performing the model training, with different ranges of split ratios, we found that
 - In the federated LSTM model, a higher



- split ratio resulted in a lower prediction loss, as shown in Fig. 14.
- In the traditional LSTM model, a higher split ratio resulted in a lower prediction loss, as shown in Fig. 14.

TABLE 9: MODEL PARAMETER VALUES DURING THE TRAINING PROCESS.

| KPI Name | LSTM Model | Federated LSTM Model |
|------------------|--|--|
| Loss | Huber | Huber |
| Optimizer | SGD | SGD |
| Metrics | All | All |
| Data size | 319,073 samples | 319,073 samples |
| Batch size | 4 | 4 |
| Window size | 60 | 60 |
| Data split ratio | 80% training - 20% testing 60% training - 40% testing 50% training - 50% testing | 80% training - 20% testing 60% training - 40% testing 50% training - 50% testing |
| Round number | 5 | 5 |

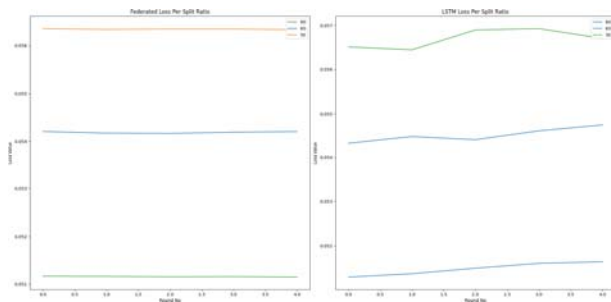


Fig. 14. Split ratio loss comparison.

8.5 Number of rounds:

- In this study, the number of rounds parameter was changed multiple times, to determine the efficacy of changing the number of rounds on the performance of the proposed models.
- Table X shows the model parameter values during the model training process.

TABLE 10: MODEL PARAMETER VALUES DURING THE TRAINING PROCESS.

| KPI Name | LSTM Model | Federated LSTM Model |
|------------------|--|--|
| Loss | Huber | Huber |
| Optimizer | SGD | SGD |
| Metrics | All | All |
| Data size | 319,073 samples | 319,073 samples |
| Batch size | 4 | 4 |
| Window size | 60 | 60 |
| Data split ratio | 80% training - 20% testing 5,10,20,50 | 80% training - 20% testing 5,10,20,50 |
| Round number | | |

- After performing the model training with different number of rounds, we found that
 - In the federated LSTM model, a higher number of rounds resulted in a lower prediction loss, as shown in Fig. 15.
 - In the traditional LSTM model, a higher number of rounds resulted in a lower prediction loss, as shown in Fig. 15.

Fig. 15. Number of rounds loss comparison.

9. CONCLUSION

In this paper, we compared federated machine learning with traditional machine learning by:

- Introducing a federated LSTM machine learning model and traditional LSTM machine learning model to forecast the number of crimes periodically.
- Applying the two proposed models, traditional LSTM and federated LSTM, on the Boston crime dataset, we found that
 - The proposed federated model had a lower prediction loss, MAE, MSE, RMSE, and log_coch_error than the proposed traditional model.
 - The proposed federated model had a slightly higher prediction RMSLE, cosine_similarity and MAPE than the proposed traditional model.
- Conducting several attempts to determine which model parameters had an effect on the model performance, we found that
 - Model optimizer
 - In the federated LSTM model, the SGD optimizer resulted in lower loss and accuracy metrics than the Adam optimizer.
 - In the traditional LSTM model, the SGD optimizer resulted in lower loss and accuracy metrics than the Adam optimizer.
 - Window size
 - In the federated LSTM model, a lower window size resulted in a

- lower prediction loss.
 - In the traditional LSTM model, a lower window size resulted in lower prediction loss.
 - Batch size
 - In the federated LSTM model, a lower batch size resulted in a slightly low prediction loss.
 - In the traditional LSTM model, a higher batch size resulted in a lower prediction loss.
 - Split ratio
 - In the federated LSTM model, a higher split ratio resulted in a lower prediction loss.
 - In the traditional LSTM model, a higher split ratio resulted in a lower prediction loss.
 - Number of rounds
 - In the federated LSTM model, a higher number of rounds resulted in a low prediction loss.
 - In the traditional LSTM model, a higher number of rounds resulted in a low prediction loss.

10. FUTURE WORK

Swarm intelligence algorithms will be used in the future to optimize the proposed federated model for global optimization and reduce the communication overhead.

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